Lattice and electronic anomalies of CaFe₂As₂ studied by Raman spectroscopy

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We report inelastic light-scattering experiments on CaFe₂As₂ in the temperature range of 4–290 K. In in-plane polarizations two Raman-active phonon modes are observed at 189 and 211 cm⁻¹, displaying A_{1g} and B_{1g} symmetries, respectively. Upon heating through the tetragonal-to-orthorhombic transition at about T_S =173 K, the B_{1g} phonon undergoes a discontinuous drop of frequency by 4 cm⁻¹, whereas the A_{1g} phonon shows a suppression of the integrated intensity. Their linewidth increases strongly with increasing temperature and saturates above T_S . This suggests (i) a first-order structural phase transition and (ii) a drastic change in charge distribution within the FeAs plane through T_S .

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The recently discovered iron-based superconductors R_x FeAsO_{1-x}F_x (R=La,Nd,Ga,Sm) have triggered a burst of experimental and theoretical research activities because of the potential relevance to high-temperature superconductors.¹⁻⁴ The Fe-based and cuprate superconductors have remarkable similarities in structural and magnetic aspects.⁵ The undoped compound has a two-dimensional electronic structure and a long-range spin-density wave (SDW) antiferromagnetic order at 134 K.⁶ Doping an undoped parent compound leads to a suppression of the magnetic order while inducing superconductivity.⁷

More recently, the ternary $A_x M_{1-x} \text{Fe}_2 \text{As}_2$ (A = K and Na; M = Ca, Sr, and Ba) compounds were shown to share similar structural, magnetic, and superconducting properties with the $R_x \text{FeAsO}_{1-x} F_x$.^{8,9} Although the superconducting transition temperatures are a little lower, the ternary compounds have an advantage in addressing intrinsic superconducting properties owing to the lack of oxygen and the ease of growing sizable single crystals. Among the $A \text{Fe}_2 \text{As}_2$ family $\text{CaFe}_2 \text{As}_2$ manifests the clearest first-order SDW and structural phase transition,¹⁰ making it optimally suitable for investigating the interplay between lattice, spin degrees of freedom, and superconductivity.

The undoped CaFe₂As₂ has the tetragonal ThCr₂Si₂-type crystal structure (space-group *I*4/*mmm*) with lattice parameters a=3.912(68) Å and c=11.667(45) Å. Upon cooling, a structural phase transition takes place from the high-temperature tetragonal to the low-temperature orthorhombic phase (*Fmmm*) around $T_S \sim 173$ K.¹⁰ Drastic changes in resistivity, magnetic susceptibility, and lattice parameters as well as a narrow hysteresis suggest that the structural phase transition is of first order. In contrast, the sister compounds SrFe₂As₂ and BaFe₂As₂ exhibit a gradual change in resistivity.¹¹ This is ascribed to an extreme sensitivity of the structural instability to chemical, structural perturbations, and the presence of Sn flux. The sharpness of the structural transition implies that CaFe₂As₂ is nearly free from such

impurities. Concomitant with the structural transition, a magnetic transition to a commensurate antiferromagnetic ordering is companied with a saturated Fe moment of $0.8 \mu_B$.¹² ⁷⁵As NMR measurements show a discontinuous formation of the energy gap associated with the SDW instability, giving evidence for a first-order magnetic transition as well.¹³ Inelastic neutron-scattering measurements unveiled anisotropic three-dimensional magnetic behavior and a substantial spin gap.¹⁴

In this Brief Report, we present Raman-scattering measurements of CaFe₂As₂ single crystals. Raman-active phonon modes show an abrupt change in frequency and linewidth around T_S . This is consistent with a first-order nature of the structural phase transition. Significantly, the 211 cm⁻¹ mode jumps by 4 cm⁻¹ through T_S . Since this mode involves the displacement of Fe atoms along *c* axis, this is interpreted in terms of a sensitivity of the electronic change of the FeAs plane to the out-of-plane vibration. Furthermore, the strong decrease in their linewidth below T_S evidences a drastic change in the electronic density of states at E_F due to the SDW instability.

Single crystals of CaFe₂As₂ were grown out of Sn flux using high-temperature solution growth technique.¹⁰ The high quality of the studied crystals is confirmed by an extensive characterization by means of x-ray, neutron diffraction, thermodynamic, and transport techniques.^{10,12} For Raman measurements, a platelike single crystal with dimensions of $2 \times 2 \times 0.1$ mm³ was chosen. The sample was held in vacuum of an optical cryostat, which is cooled by a closed cycle refrigerator to helium temperature. Raman-scattering experiments were performed using the three different excitation lines $\lambda = 488$ (ArKr⁺ Laser), 532 [Nd:yttrium aluminum garnet (YAG) solid-state Laser], and 632 nm (HeNe Laser) in a quasibackscattering geometry. The laser power of 5 mW was focused to a 0.1-mm-diameter spot on the surface of the single crystal. The heating of the sample did not exceed a few kelvins. The scattered spectra were collected by a



FIG. 1. (Color online) Raman spectra in (xx) and (xu) polarizations at 4 and 290 K, respectively. The solid lines denote the (xu)polarization where the incident light is parallel to the *x* axis and the scattered light is unpolarized. For the (xx) polarization, the incident and scattered lights are polarized parallel to the *x* axis.

DILOR-XY triple spectrometer and a nitrogen cooled charge-coupled device detector with a spectral resolution of $\sim 1 \text{ cm}^{-1}$.

Figure 1 compares Raman spectra for (xx) and (xu) polarizations at 4 and 290 K, respectively. In (xx) scattering geometry, the incident and scattered light polarizations are parallel to the *a* axis. In (xu) scattering configuration, the scattered light is not analyzed with respect to polarization. At room temperature we observe a single peak around 204 cm⁻¹. At 4 K two sharp peaks at 189 and 211 cm⁻¹ show up. The sharpness of the observed peaks testifies the high quality and homogeneity of the single crystal.

The factor group analysis yields four Raman-active phonon modes:

$$\Gamma_{\text{Raman}} = A_{1g}(x^2 + y^2, z^2) + B_{1g}(x^2 - y^2) + 2E_g(xz, yz).$$

In the in-plane scattering geometry A_{1g} and B_{1g} modes are allowed, which correspond to the displacement of As and Fe atoms along the *c* axis. The frequency and symmetry of the Γ -point phonons are calculated by *ab initio* methods and compared to the experimental results of the isostructural compound SrFe₂As₂.¹⁵⁻¹⁷ Thus, by referring to the comparative study in SrFe₂As₂, we are able to identify the symmetry of the two peaks. The 189 and 211 cm⁻¹ peaks are assigned to the A_{1g} and B_{1g} modes, respectively.

Here we note that the sample has a platelike shape whose plane is perpendicular to the crystallographic *c* axis. The observed Raman-scattering intensity is extremely low and the sample thickness is of the order of the laser spot size. This restricts the scattering configuration to the plane. Furthermore, we collect the Raman spectra in the (*xu*) polarization because it gives a stronger intensity than the (*xx*) polarization and enables us to study the A_{1g} and B_{1g} modes simultaneously.

In Fig. 2 Raman spectra at three different laser lines are compared at 4 K. All laser lines show commonly the two sharp phonon peaks at 189 and 211 cm⁻¹. For the blue and green laser lines, the intensity of the 189 cm⁻¹ mode is weaker than that of the 211 cm⁻¹ mode. The scattering in-



FIG. 2. (Color online) Comparison of Raman spectra using three different incident laser lines λ =632, 532, and 488 nm at 4 K.

tensity of both modes becomes comparable for the red laser line. Overall, the blue and green laser lines give stronger Raman intensity than the red one. Thus, detailed temperature dependence was measured using λ =488 and 532 nm.

Before proceeding, we will discuss Raman scattering on electronic excitations. In simple metals light scattering by electrons is hardly observable at low energy because variations in the charge density are screened by itinerant electrons, and electrons will be collectively excited at a plasma frequency of several electron volts.¹⁸ In contrast, correlated electron systems show distinct electronic excitations and collective modes in the optical phonon energy range. For example, the cuprate and hydrated cobaltate Na,CoO₂yH₂O superconductors show similar flat, broad electronic continua in a certain doping range.¹⁹ The studied system exhibits no appreciable electronic excitations at least in the energy range of 40-800 cm⁻¹ within the resolution and sensitivity of our spectrometer. The absence of an electronic continuum might suggest that correlation effects are not as strong as the cuprate and cobaltate superconductors. In addition, the phonon modes remain sharp and have a typical Lorentz shape rather than a Fano line shape. This implies that coupling of the phonons to other excitations is small. According to a firstprinciples calculation,²⁰ electron-phonon coupling is evenly distributed among all of the phonon branches and the electron-phonon matrix elements are extremely small due to the strongly delocalized character of the Fe-d states around the Fermi level, E_F .

Figure 3 displays the temperature dependence of Raman spectra. With increasing temperature the intensity of the A_{1g} phonon is strongly reduced around T_S while the frequency of the B_{1g} phonon abruptly changes. To obtain detailed information about the peak frequency and linewidth, both phonons are fitted by Lorentzian profiles. The results are summarized in Fig. 4. The full square and open triangle symbols stand for the respective blue and green line data. The errors are of the symbol size.

In the temperature region below 173 K, the 211 cm⁻¹ B_{1g} and the 189 cm⁻¹ A_{1g} modes soften by about 3 cm⁻¹ with increasing temperature. In the narrow temperature interval around T_S , the 211 cm⁻¹ mode shows an abrupt, large jump



FIG. 3. (Color online) Temperature dependence of Raman spectra. The dashed vertical bar indicates the position of the 211 cm⁻¹ mode at T=4 K.

by 4 cm⁻¹, and then undergoes a tiny softening by 1 cm⁻¹ between T_S and room temperature. Its linewidth (Γ) also shows a distinct change at about T_S ; for the 211 cm⁻¹ mode it increases strongly with increasing temperature and saturates above about 173 K. Below 173 K the 189 cm⁻¹ mode also shows a strong broadening but the saturation cannot be identified due to a suppression of the scattering intensity. The discontinuous change in the phonon frequency and linewidth at T_S demonstrates a first-order nature of the structural transition as consistent with other transport, thermodynamic, and magnetic studies.^{10,12}

We now discuss possible origins of the observed phonon



FIG. 4. (Left) Temperature dependence of the peak position and linewidth of the 211 cm⁻¹ B_{1g} mode. (Right) Temperature dependence of the peak position and linewidth of the 189 cm⁻¹ A_{1g} mode. The full square represents the blue line data and the open triangle represents the green ones.

anomalies. They cannot be ascribed to the change in optical parameters since the intensity of the 211 cm^{-1} mode is largely temperature independent (not shown here) although the 189 cm⁻¹ mode is strongly suppressed above T_S . Next, we consider the change in interionic distances. The 211 cm⁻¹ phonon frequency jumps by 2% around $T_{\rm s}$. The phonon frequency relies on a bond length, $\omega^2 \sim 1/l^3$. Between room temperature and T_S the *c*-lattice parameter changes by 0.4%. Thus, the lattice-parameter change cannot fully explain the observed large jump of the phonon frequency. Although in-plane lattice parameters jump by $\sim 1\%$, it will not directly couple to the B_{1g} mode, which involves the out-of-plane displacements of the Fe ions. Rather, it might be related to the change of a charge distribution in the FeAs planes through $T_{\rm S}$. This explains well the temperature dependence of the linewidth.

In a phonon-phonon interaction mechanism, the broadening of a phonon mode is given by the decay of the optical phonon into acoustic modes. Since anharmonic effects are described by Boltzmann functions, the temperature dependence of a phonon linewidth does not saturate in contrast to our case.²¹ Thus, electron-phonon interactions should be taken into account. In this mechanism, the ratio Γ/ω_0 is given by²²

$$\Gamma/\omega_0 = \pi N(0)\hbar\omega_0\lambda$$
,

where N(0) is the electronic density of states at E_F , ω_0 is the frequency of the specific mode, and λ is the electron-phonon coupling parameter. As discussed above, the electron-phonon interactions are not strong due to the strong delocalization of the electronic density of states at E_F . However, the structural phase transition can modify the electronic state and accordingly the strength of electron-phonon interactions can vary. Since electron-phonon interactions scale with the temperature dependence of the electronic density of states E_F , the strong reduction in the linewidth below T_S can be attributed to an opening of a gap due to the SDW instability. We find that an activated function $\exp(-\Delta/k_BT)$ with Δ =168-202 K provides a reasonable description to the temperature dependence of the linewidth (see the solid line of Fig. 4). However, this value should not be taken literally because we cannot separate this effect from the contribution of anharmonicity to the phonon broadening. Here we mention that recent NMR measurements show a jump of an electric-field gradient in the c direction and an abrupt change in spin-lattice relaxation at T_S . This is interpreted in terms of the dramatic change in an on-site charge distribution in the As orbitals.¹³

To summarize, we present Raman-scattering measurements of CaFe₂As₂. The frequency and linewidth of Ramanactive phonon modes show a discontinuous change around T_s . This confirms a first-order nature of the structural phase transition. Significantly, the large shift of the 211 cm⁻¹ mode by 4 cm⁻¹ at T_s and the strong decrease in the linewidth below T_s give evidence for (i) a strong change in the electronic density of states at E_F , and (ii) a sensitivity of the electronic and magnetic changes of the FeAs plane to the out-of-plane structure. This work was supported by DFG and ESF-HFM. One of us (K.Y.C.) acknowledges financial support from the Alexander-von-Humboldt Foundation. Work at the Ames Laboratory was supported by the U.S. Department of Energy, Basic Energy Sciences under Contract No. DE-AC02-07CH11358.

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